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A New Method to Predict the Quality of Umbilical Cord Blood Units based on Maternal and Neonatal Factors and Collection Techniques

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Abstract

The saving banks of "umbilical cord blood stem cells" are considered as strategic health-based institutions in most countries. Due to the limited capacity of cord blood sample storage tanks, the samples should be evaluated according to their quality. So these banks need a method to assess quality. In this paper, first, the effective factors on the quality index of the extracted cord blood from newborn infants are identified using the electronic records and database of Royan's umbilical cord blood bank. Then by machine learning and various statistical methods such as Multilayer Perceptron Neural Networks (MLPNNs), Radial Basis Function Neural Networks (RBFNNs), Logistic Regression (LR), and C4.5 Decision Tree (DT), the quality value of blood samples and their proper category (for discarding or freezing) are determined. Two different sets of data have been used to evaluate the proposed methods. The results show that the ensemble of RBFNN with k-means clustering model has the best accuracy compared to other methods, which categorizing the samples with 91.5% accuracy for the first data set and 81.6% accuracy for the second one. The results also show that using this method can save about 1 million dollars annually.

Keywords: Umbilical cord blood banking, Data mining, Neural network.

1 | Introduction

CC Licensee Journal of Applied Research on Industrial Engineering. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons. org/licenses/by/4.0). The stem cells are unique for their high proliferation ability and convertibility to other different cell types. The blood of umbilical cord is a precious resource of the stem cells, and it is a good alternative for bone marrow transplantation. Today, about 20 percent of stem cell transplantations are originated from the stem cells of the umbilical cord blood. Transplantation of the stem cells has various advantages such as facile collection and access, being safe and risk-free for mothers and newborns, lack of the need to total Human Leukocyte Antigen compatibility, reduction of the likelihood of transplant rejection, and Graft-Versus-Host Disease reaction. Despite these numerous advantages, using the umbilical cord blood has some limitations such as low volume, slow deployment process of the transplant in the host's body, and delays in the neutrophilic and platelet

cell retrieving recovery. Therefore, there is a vital need for transplantable stem cell detection in the umbilical cord blood and their safe storage [1]-[3].

Actually, storage of the umbilical cord blood cells provides an opportunity for a ready to use and always available resource of the stem cells, which is genetically compatible with the donor and it can be used to cure the possible future diseases of the individual or even his/her family members [3], [4].

Storage of the umbilical cord blood samples is carried out in three types of banks: public or national banks, private or family banks, and hybrid umbilical cord blood banks. The growth rate of these banks by 2011 based on their types is illustrated in *Table 1*. The growth rate of private banks has been significantly higher than the public ones.

	0			
Number	Year 2003	Year 2004	Year 2011	The Growth
Public banks	18	20	29	61%
Private banks	15	21	30	100%
Samples in the public banks	58650	76145	160000	2.7 times
Samples in private banks	179350	270991	1150000	6.4 times

Table 1. The worldwide growth rate of the umbilical cord blood banks.

If an accurate evaluative prediction of the future samples is provided at any stage before the conclusion of the contract (between the banks and families) or before conducting the qualitative tests, the umbilical cord blood banks can avoid possibly useless tests and cost. Also, due to the limited capacity of the storage tanks of the umbilical cord blood samples, the banks should prioritize their blood samples based on quality factors. If the samples are not ideal in terms of their quality level, they cannot be used at the time of transplantation. That is why the storage of high-quality samples has vital importance. Prioritization of samples should be based on parameters extracted from historical data by data mining and machine learning methods [5]-[7].

In this paper, the data mining techniques are used for the first time to predict the quality of the umbilical cord blood samples. Based on this prediction, sample quality is evaluated, and a decision is made about sample storage. Implementation of the proposed method reduces storage costs and also increases the likelihood of using these samples to treat diseases in the future.

The previous studies mainly focused on the factors affecting the quality of umbilical cord blood and proposed some methods for cell counting and samples selection, but in the proposed method, we predict the samples' quality to select the best samples for storage. To predict the sample quality, some heuristic methods such as Multilayer Perceptron Neural Networks (MLPNNs), Radial Basis Function Neural Networks (RBFNNs), Logistic Regression (LR), and C4.5 Decision Tree (DT) are used. Also, a case study is presented at Royan institute, which shows the efficiency of the proposed method.

2 | Literature Review

Many studies have been dedicated to evaluate the factors affecting the quality of blood samples extracted from the umbilical cord. Al-Sweedan et al. [8] determined the effective factors on the number of the hematopoietic stem cells collected from the umbilical cord blood. The data of 200 individuals which were eligible for blood-producing tests-such as Total Nucleated Cells (TNCs) and the number of CD 34 + cells, gathered for analysis. The results have been evaluated by single and multivariate analysis. In the single variable analysis, the factors with a positive correlation to TNC numbers were: maternal weight, preeclampsia, neonatal weight, neonatal platelet count, neonatal Rh, gestational age, and delivery type. Also, the positive factors related to the high number of CD34 + cells were: maternal weight, preeclampsia, maternal hypertension, neonatal weight, neonatal Rh type, and delivery type [8].

Lee et al. [9] analyzed the effective intrinsic factors on the hematopoietic variables of cord blood in Korea's newborns. The total number of nucleated cells, CD34+ cells, and the ratio of CD34+ cells to the whole



Also, some researchers investigated the methods implemented for counting the cells in the blood unit. Jaime-Pérez et al. [18] analyzed the current standard method using the volume and TNC count to select cord blood units, cryopreservation, and further transplantation. The data consisted of 794 units of umbilical cord blood, which contained CD 34+ cells determined by flow cytometry. Wen et al. [19] investigated the relationship between the factors associated with the donor and the umbilical cord blood quality indices. The obstetric and neonatal clinical laboratory data of 1549 units of umbilical cord blood were gathered from the Buddhist Tzu Chi Stem Cells Center. A multivariate analysis method was used to analyze the data. The results showed that the neonatal birth weight has a significant positive correlation with any of the clinical features, i.e., the number of CD34+ cells, TNC count, unit volume, and placental weight. Cobellis et al. [20] examined the question of whether the storage of the umbilical cord blood using ultrasound and sonographic parameters at the time of pregnancy is predictable. For this purpose, the correlation of all sonographic parameters (head width, head circumference, abdominal circumference, femur length, estimation of fetal weight, rate index of the umbilical artery), which were extracted from the newborn's weight at birth and placental weight, were studied with the storage parameters of the umbilical cord blood samples (volume, CBU, TNC, and CD34+). After analyzing the 219 pregnant women, the results suggested that some factors such as abdominal circumference, femur length, estimation of fetal weight, neonatal weight at birth, and placental weight have a positive effect on the storage parameters such as CBU, CBU volume, TNC, and CD34+.



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Optimizing cells selection to reduce the storage cost and the high likelihood for future use is one of the interesting issues. Mancinelli et al. [21] researched to optimize the selection process of the right donor. They evaluated the effects of factors such as neonatal weight, gender, Apgar score at minute 5, mode of delivery, type of blood sampling, maternal age, and number of deliveries, umbilical cord length, placental weight, and fecal presence in the infant on the qualitative indices such as blood volume, TNC, and CD34+. Solves et al. [22] wrote a paper on the selection process improvement. In this research, 1300 samples were studied and the effects of various factors such as maternal age, gestational age, number of pregnancies, delivery time, neonatal weight, placental weight, mode of delivery, neonatal gender, and type of blood sampling on the qualitative measures such as blood volume, TNC, CD34+, CFU, and viability were evaluated. Page et al. [23] examined the effects of maternal and neonatal factors as well as the type of blood sampling on the qualitative indices such as TNC, CD34+, and CFU. Manegold et al. [24] researched to reduce the sample rejection rate due to the low amount of cells in them. Wu et al. [25] analyzed the data of 4613 blood samples from Guangzhou's blood bank. In this study, they used statistical tools such as LR, chi-square test, and t-test to analyze the data

Although the previous studies mainly investigated the effective factors and tried to improve the cells selection for cord blood bank, there are no studies in which investigated the quality of the cells considering the effecting factors. In this paper, we predict the cell quality based on the effecting factors using Artificial Neural Networks (ANN) to select the best sample to store in the cord blood bank.

3 | Methodology

The classification prediction techniques are one of the most common methods in model learning. The classification is used to find a model to determine the class of objects according to their characteristics. In the classifier algorithms, the initial data set is divided into two sets of training data, and test data. The model is constructed, using the training data set, and the test data are used for validation. In this research, a two-stage method is developed to construct a hybrid intelligent model for the classification prediction of the blood samples.

The first stage is the pre-processing of data. In this stage, two transformation techniques are used. First, nominal and ordinal data are converted to continuous data. Then, all values in each attribute are mapped into the standard interval of [0, 1]. In the second stage, four methods, including MLPNNs, RBFNNs, LR, and C4.5 DT are used for prediction samples quality.

3.1 | Data Transformation

Using transformed data is more useful in most heuristic methods, especially when dealing with forecasting problems [26]. According to the structure of the existing attributes in the data sets, the data of qualitative attributes were converted to the data of new quantitative attributes [27]. In this case, a qualitative characteristic will be extended into several quantitative features in which the total of quantitative values will be an index of the qualitative feature. For example, the characteristic of being a man or woman is represented by (0, 1) or (1, 0).

3.2 | Data Normalization

Data normalization is used in different forecasting studies, for example, [28], [30]. There are different normalization algorithms, such as Min-Max normalization, Z-score normalization, and sigmoid normalization. In this paper, we use Min-Max normalization. The Min-Max normalization scales the numbers in a data set to improve the accuracy of the subsequent numeric computations. If X_{old} , X_{Max} and X_{Min} are the original, maximum and minimum values of the raw data respectively, and X_{Max}^* , X_{Min}^*

are the maximum and minimum of the normalized data. New normalized values can be obtained by the following transformation function:

$$X_{New}^{*} = \left(\frac{X_{old} - X_{Min}}{X_{Max} - X_{Min}}\right) (X_{Max}^{*} - X_{Min}^{*}) + X_{Min}^{*}.$$
 (1)

3.3 | Artificial Neural Networks

ANNs are flexible computing frameworks for modeling linear and nonlinear problems [31]. One of the significant advantages of the neural network models is that they can be applied to different classification predictions with high accuracy. This advantage is the result of the power of parallel data processing. Also, no previous assumptions are needed to build the model. ANNs consist of an interconnection of some neurons. There are many varieties of connections under study and here, we discuss two types of network, which are called multilayer perceptron and Radial Basis Function (RBF).

3.4 | Multilayer Perceptron

We use a typical three-layer and four-layer feed forward model for the MLP method for classification. Hidden nodes with appropriate nonlinear transfer functions are used to process the information received by the input nodes. The symbolic structure of a MLPNN is shown in *Fig. 1*.



Fig. 1. MLP neural network model.

The training and learning process of this network is carried out through this algorithm:

Step 1. Initialize weights and thresholds to small random values.

Step 2. Choose an input-output pattern $(x^{(k)}, t^{(k)})$ from the training data.

Step 3. Compute the network's actual output $(o^{(k)} = f(\sum_{i=1}^{l} w_i x_i^{(k)} - \theta))$, (L is the size of input vector or the size of input neurons.)

Adjust the weight and bias according to the Levenberg-Marquart algorithm.

Step 4. If the whole epoch is complete, pass to the following step; otherwise, go to Step 2.

Step 5. If the weights and bias reach a steady state $\Delta w_i \approx 0$ through the whole epoch, stop the learning; else go through one more epoch.

The Levenberg Marquardt (LM) algorithm is the most widely used optimization algorithm. LM is similar to error back propagation in which it requires the calculation of the gradient vector, but in addition, LM also computes the Jacobian [32]. The gradient vector is represented as:

	$\left(\frac{\partial E}{\partial W_1}\right)$		
g =	$\frac{\partial \mathrm{E}}{\partial \mathrm{W}_2}$, (2)
	÷		
	$\frac{\partial E}{\partial W_n}$		

where E is the error of the network for the pattern and W refers to the weights. The Jacobian is calculated as below:

$$J = \begin{bmatrix} \frac{\partial E_1}{\partial W_1} & \frac{\partial E_1}{\partial W_2} & \dots & \frac{\partial E_1}{\partial W_n} \\ \frac{\partial E_2}{\partial W_1} & \frac{\partial E_2}{\partial W_2} & \dots & \frac{\partial E_2}{\partial W_n} \\ \frac{\partial E_p}{\partial W_1} & \frac{\partial E_p}{\partial W_2} & \dots & \frac{\partial E_p}{\partial W_n} \end{bmatrix}.$$
(3)

Once the Jacobian is calculated, the LM algorithm can be represented by the following:

$$W_{k+1} = W_k - (J_k^T J_k + \mu I)^{-1} J_k^T E.$$
(4)

Where E is the total error for all patterns, I is the identity matrix, and μ is a learning parameter. The learning parameter μ is then adjusted several times in each iteration and the result with the greatest reduction of error is selected. When the μ value is very large, the LM algorithm becomes steepest descent or BP, and when μ is equal to zero it is the Newton method. The entire process is then repeated until the error is reduced to the required value.

3.5 | Radial Basis Function

RBF uses a series of basic functions that are symmetric and centered at each sampling point. *Fig. 2* shows the structure of the RBF. The input neurons have no weight, thus the first hidden layer receives the same values as the first layer. The designed function in the hidden layer is the Radial Basis type. The transfer function for the neurons of the hidden layer is non-monotonic; then the output of these neurons is sent to the output layer by weights. The neurons of the output layers are actually, simple summations.

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Fig. 2. Schematic diagram of RBF architecture.

Let us assume that there are H neurons in the hidden layer. The transfer function is like gaussian density functions. The gaussian function is introduced by the following equation:

2

$$a_{h,k} = \exp(-\frac{||\hat{x}_{h} - x_{k}||^{2}}{\sigma_{h}^{2}}),$$
(5)

where $a_{h,k}$ is the output of the hth neuron in the hidden layer. Also, x_h is the center of the radial function, and σ is the distance scaling parameter.

Finally, the weighted average of the outputs associated with the hidden layer determines the output. In other words, Eq. (6) shows the output value.

$$\mathbf{y}_{i} = \sum_{i=1}^{n} \mathbf{w}_{i} \times \mathbf{a}_{h,i'}$$
(6)

where the w_i , is the weight assigned to the ith neuron in the hidden layer. Since this method is an observer learning method, the exact values for x_i and y_i are predetermined, thus to have the weights in the second layer, the pseudo-inverse method is used as bellow:

$$G = [g_{i,j}], \tag{7}$$

where

$$g_{i,j} = \exp\left(\frac{-\left\|x_{i} - v_{j}\right\|}{2\sigma_{j}^{2}}\right) \quad i = 1, 2, ..., n; j = 1, 2, ..., p,$$
(8)

And we have

$$D = GW, \tag{9}$$

where D is the desired output for the trained data. If G^{-1} exists, then we have

$$W = G^{-1}D.$$
(10)

If G is ill-conditioned (close to singularity) or is a non-square matrix, then:

$$W = G^+ D, \tag{11}$$

where

3.6 | C4.5

 $\mathbf{G}^+ = (\mathbf{G}^{\mathrm{T}}\mathbf{G})^{-1} \times \mathbf{G}^{\mathrm{T}}.$

C4.5 is a well-known algorithm used to generate a DT. The C4.5 algorithm improves in DT learning (ID3) regard to the splitting rule and the calculation method [33]. The DTs generated by the C4.5 algorithm can be used for classification. Learned trees can also be represented as sets of if-then rules to improve human readability. C4.5 DT learning is a heuristic, one-step look ahead (hill climbing), non-backtracking search through the space of all possible DTs [34]. The algorithm of C4.5 is shown in the following steps. Training dataset and attributes are introduced as T, and S, respectively.

Algorithm 1. C4.5 algorithm.

Suppose C denotes the number of classes, and P(S,j) is the proportion of instances in S that are assigned to jth class. Hence, the entropy of attribute S is calculated as follows:

$$Entropy(S) = -\sum_{j=1}^{C} p(S,j) \times \log p(S,j).$$
(13)

Information gain by a training dataset *T* is defined as:

$$Gain(S,T) = Entropy(S) - \sum_{v \in Values(T_S)} \frac{|T_{S,v}|}{|T_S|} Entropy(S_v),$$
(14)

where Values (T_s) is the set of values for S in T, T_s is the subset of T induced by S and $T_{s,v}$ is the subset of T in which attributes S has a value of v.

Therefore, the information gain ratio of attributes S is defined as:

Gain Ratio(S,T) =
$$\frac{\text{Gain}(S,T)}{\text{SplitInfo}(S,T)}$$
, (15)

where SplitInfo (S, T) is calculated as:

Split Info(S,T) =
$$-\sum_{v \in Values(T_S)} \frac{|T_{S,v}|}{|T_S|} \times \log \frac{|T_{S,v}|}{|T_S|}.$$
 (16)

3.7 | Logistic Regression

LR is used as a statistical algorithm for prediction and diagnosis in many disciplines. This model is very effective to solve relatively less complex problems [35]. LR is a regression method for predicting a dichotomous dependent variable. In producing the LR equation, the maximum-likelihood ratio was used to determine the variables' statistical significance [36]. In LR models, the dependent variable is always in categorical form and has two or more levels. Independent variables may be in the numerical or categorical form [37]. We consider the situation where we observe a binary outcome variable y and a vector $x = (1, x_1, x_{2,...,} x_k)$ of covariates for each N individuals. We code the two-class via a 0/1 response y_i, where y_i=1 for the first class and y_i=0 for the second one. Let P be the conditional probability associated with the first class. LR is a widely used statistical modeling technique in which the probability P of the dichotomous outcome event is related to a set of explanatory variables X in the bellow form:

$$\text{Logit}(\mathbf{p}) = \ln\left(\frac{\mathbf{p}}{1-\mathbf{p}}\right) = \mathbf{f}(\mathbf{X}, \boldsymbol{\beta}) = \boldsymbol{\beta}^{\mathrm{T}} \mathbf{X},\tag{17}$$

where $\beta = (\beta_0, \beta_1, \beta_2, ..., \beta_k)$ is the vector of the coefficients and β^T is the transpose vector. We refer to p/(1-p) as odds-ratio and to the Eq. (17) as the log-odds or logit transformation.

Let $D = \{(x_i, y_i) | i = 1, 2, ..., n\}$ be the training data set, where the number of samples is n. Here, we assume that the training sample is a realization of a set of independent and identically distributed random variables. The unknown regression coefficients β_i , which should be estimated from the data, are directly interpretable as log-odds ratios or, in terms of $\exp(\beta_i)$, as odds ratios. That log-likelihood for n observations is:

$$l(\beta) = \sum_{i=1}^{n} \left[y_i \beta^T x_i - \log(1 + e^{\beta^T x_i}) \right].$$

$$(18)$$

The log-likelihood function is used to estimate the regression coefficients β_i . The exponential value of regression coefficients (e^{β^T}) gives odds ratio, and this value reflects the effect of risk factor in the disease, and the interpreted values are odds ratios.

4 | Evaluation of the Classification Prediction Model

To select the appropriate model, we use three criteria of accuracy, sensitivity, and specificity. The accuracy of a classification prediction model on a given set is the percentage of test records that are correctly identified by the classifier. The accuracy can be calculated using the below formula:

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN}.$$
(19)

Sensitivity is also considered as the actual positive rate, i.e., the proportion of positive records that are correctly identified. While specificity is the actual negative rate, that is, the ratio of negative records that are correctly identified. In other words:

Sensitivity =
$$\frac{\Pi P}{\Pi P + FN}$$
. (20)

Specificity =
$$\frac{\text{TN}}{\text{TN} + \text{FP}}$$
. (21)

4.1 | Cross Validation

In order to assess the accuracy of the classification models, a 5-fold cross-validation method has been utilized. In this method, the model is trained and tested five times. First, the data are divided into five sets. In the first step, the first four parts are used for training, and the fifth part is reserved for a test. Then for the second step, the data of parts one to three, and the last part are used for training and the fourth part is for the test. This process is repeated until the stage where the data of parts two to five are used for training, and the first part is used for the test. Finally, the obtained average value indicates the accuracy of the model.

4.2 | Data Compilation

The process of data collection was carried out by reviewing the literature. The collection of the important variables that are effective on the output can accelerate the model design, and improve the results. A summarized list of the input and output variables is shown in *Table 2*.

Article	The Studied Factors	Qualitative Attributes	Sample Size
Solves et al. [22]	Maternal age, number of gravidities, gestational age, neonatal gender, neonatal weight, placental weight, delivery type, delivery duration	TNC	1300
Nakagawa et al. [38]	Neonatal weight, umbilical cord length, weight of placenta, neonatal gender, gestational age.	(TNC), CD34+ cell,	956
Jan et al. [11]	Maternity age, size of placenta, fetal weight, number of gravidities, neonatal gender	TNCs, CD34+, CD45+, NRBCs, and viability.	206
Urciuoli et al. [40]	Gestational age, neonatal weight, placental weight, gender, head diameter, head circumference, abdominal circumference, umbilical cord length, delivery type, blood white cells count	Blood volume, TNC, CD34+, Total CFU count, BFU-E count, CFU-GM count, CFU- GEMM count	365
Coldwll et al. [41]	Maternal age, neonatal gender, neonatal weight, placental weight, delivery type, meconium n the Amniotic fluid, gestational age, Apgar score, umbilical cord twist around the neck, umbilical cord length, multiparty delivery, maternal diabetes	Volume, TNC, CD34+, HPC recovery	49
Abdu Wahid et al. [10]	Gestational age, maternal age, neonatal gender, neonatal weight, placental weight, delivery type, Systolic blood pressure	Volume, TNC, CD34+, UCB pH	47
Wen et al. [19]	Maternal age, neonatal weight, placental weight, delivery type, umbilical cord length, number of gravidities, neonatal gender	Volume, TNC, CD34+	1549
Lee et al. [9]	Gestational age, maternal age, neonatal gender, neonatal weight, placental weight, delivery type, neonatal blood type	Volume, TNC, CD34+, Pre viability, Post viability	11098

Table 2. Summary of the literature in the field of the umbilical cord blood sample quality.

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	Table 2. Continued.			
Article	The Studied Factors	Qualitative Attributes	Sample Size	
Al-Sweedan et al. [8]	Gestational age, neonatal weight, maternal weight, neonatal platelet cells count, delivery type, incidence of pre-eclampsia, maternal blood	TNC, CD34+	200	JARIE
Cobellis et al. [20]	Gestational age, neonatal weight, placental weight, gender, head diameter, head circumference, abdominal circumference, umbilical cord length, delivery type	Blood volume, TNC, CD34+	219	228
Keersmaeker s et al. [42]	Gestational age, neonatal ethnicity, neonatal gender, neonatal weight,	TNC	7839	
Manegold- Brauer et al. [16]	Maternal age, number of gravidities, maternal height, maternal weight at the beginning and end of gestation, gestational age, neonatal gender, neonatal weight	TNC	758	
Abdelrazik et al. [43]	Weight, gestational age, neonatal gender, delivery type, maternal weight	TNC, CD34+	200	

To summarize, it can be said that the factors related to maternal conditions, neonatal, and delivery conditions are the ones that are considered to be effective on the quality of the umbilical cord blood samples in the past literature. In *Table 3*, some of the sample parameters for each category are given.

Table 3. Categorization of the effective fac	ctors, according to the past literature.
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Maternal Factors	Neonatal Factors	Factors of Delivery Conditions
Maternal age	Birth weight	Delivery type (cesarean section /
Number of gravidities	Neonatal gender	normal delivery)
Maternal height	Placental weight	Gestational age (weeks of pregnancy)
Maternal weight at the beginning and end	Umbilical cord length	Intrauterine/ extrauterine
of pregnancy	Head diameter	(extravaginally) blood collection
Blood pressure	Head circumference	
Maternal white blood cells count	Thigh bone length	
Blood type		

The data used in this study were collected from the Electronic Health Record of Royan's umbilical cord blood bank. For the evaluation and quality prediction, the previously used samples in the database are studied. These data were collected from 2012-09-24 to 2013-03-26 and 2015-09-28 to 2016-04-06. According to the available data types, two types of data sets are used. The collected value types are compiled in *Table 4*. The number of data in the first dataset was 71, and the second set contained 618 records. The basic information about these two sets is shown in *Tables 5* and *6*. Both data sets follow the same structure regarding the batch features. *Table 7* shows a list of the batch attributes in each category.

Row	Factors	Type of	Type of	Row	Factors	Type of	Type of
		Values	Variable			Values	Variable
1	Maternal age	Discrete	Independent	12	Uterine problems	Nominal	Independent
2	Number of gravidities	Discrete	Independent	13	Type of delivery	Nominal	Independent
3	Duration of pregnancy	Discrete	Independent	14	Mother's active disease	Nominal	Independent
4	Delivery type	Nominal	Independent	15	Blood collecting method	Nominal	Independent
5	Placental exit state	Nominal	Independent	16	Punch	Ordinal	Independent
6	Placental clamp state	Nominal	Independent	17	Apgar in 1 minute	Discrete	Independent
7	Neonatal gender	Nominal	Independent	18	Placental weight	Discrete	Independent
8	Apgar in 5 minutes	Discrete	Independent	19	Number of arteries and veins	Nominal	Independent
9	Connecting position of the umbilical cord	Nominal	Independent	20	Umbilical cord length	Discrete	Independent
10	Birth weight	Discrete	Independent	21	Discard or freeze	Nominal	Dependent
11	Number of pregnancies	Discrete	Independent				

Table 4. The value ty	pes used	for the	data.
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Table 5. Basic information about set 1.

Factors	Average	Variance	Standard Deviation	Maximum	Minimum
Maternal age	30.88	14.57	3.81	41	22
Umbilical cord length	43.55	198.28	14.08	77	15
Number of gravidity	1.07	0.067	0.25	2	1
Duration of pregnancy (weeks)	39.12	116.45	10.79	41	35
Apgar in 5 minutes	9.85	0.12	0.35	10	9
Birth weight	3212.39	167885.3	409.73	4600	2000
Number of pregnancies	1.44	0.45	0.673	3	1
Apgar in 1 minute	9.05	0.16	0.41	10	8
Placental weight	527.77	13132.67	114.59	839	240

Table 6. Basic information about set 2.

Factors	Average	Variance	Standard Deviation	Maximum	Minimum
Maternal age	31.14	16.91	4.11	43	19
Umbilical cord length	46.07	183.91	13.56	90	10
Number of gravidity	1.1	0.11	0.33	3	1
Duration of pregnancy (weeks)	39.13	1.46	1.21	42	28
Apgar in 5 minutes	9.85	0.14	0.37	10	7
Birth weight	3141.49	120559.6	347.21	4250	2000
Number of pregnancies	1.56	0.69	0.83	6	1
Apgar in 1 minute	9	0.27	0.52	10	3
Placental weight	519.08	17431.62	132.02	1000	250

Table 7. Basic information about the categorical features of the data.

Factors	Number of	Description
	Categories	-
Uterine problems	2	Has / Doesn't have
Type of delivery	2	Normal /Cesarean section
Condition of delivery	2	Emergency / Expected
Mother's active disease	2	Healthy / Ill
Placental exit state	3	With elongation /No intervention /Twisted placenta
Blood collection method	3	Intrauterine /Ectopic / Both
Placental clamp state	2	Close to fetus /Close to placenta
Punch count	3	Once /Twice /More than twice
Gender	2	Female / Male
Connecting position of the	4	Curtain /Marginal /Central /Out of center
umbilical cord		
Number of arteries and veins	2	One and two / Two and one
Discard or freeze	2	Discard/ Freeze

5 | Result and Discussion

In this section, the results of the proposed classification methods are presented. To assess the appropriate prediction method, the three criteria of specificity, sensitivity, and accuracy have been considered. All results are expressed separately for sets 1 and 2.

Set No. 1: The methods used for this database are discussed in the methodology section. The results of LR and DTs are shown in Table 8.

Table 8. The results of the DT and LR.					
Method	Specificity	Sensitivity	Accuracy		
LR	26.66%	96.66%	86%		
DT	16.6%	81.2%	71.46%		

Table 8. The results of the DT and L

Also the DT extracted for fold 2 is shown in Fig. 3.

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Fig. 3. The tree schema of generated rules for fold 2 prediction of database 1.

Different designs were proposed to use the RBFNNs. In order to adjust the input parameters such as the center and radius, the k-means clustering method is issued. 16 different designs are considered for the RBF neural network. *Table 9* shows the results of RBFNNs. It can be seen that the highest accuracy in these methods was achieved using 40 clusters, which is about 91.5%. In terms of the sensitivity criteria, several different designs have managed to reach as high as 95 percent. In design No. 10, using 20 neurons in the hidden layer, the highest value of specificity was achieved compared with other designs. Ultimately, design No. 14 has the best performance with 40 neurons in the hidden layer.

Design NO.	Number of	Activation	Specificity	Sensitivity	Accuracy
	Clusters (Neurons)	Function			
1	3	Gaussian	60%	85%	81.9%
2	4	Gaussian	50%	91.7%	86.1%
3	5	Gaussian	56.7%	95%	88.9%
4	6	Gaussian	80%	90%	88.9%
5	7	Gaussian	63.3%	91.7%	87.4%
6	8	Gaussian	53.3%	93.3%	87.4%
7	9	Gaussian	66.7%	91.7%	87.5%
8	10	Gaussian	60%	95%	90.1%
9	15	Gaussian	53.3%	95%	88.8%
10	20	Gaussian	90%	86.7%	87.3%
11	25	Gaussian	73.3%	90%	87.3%
12	30	Gaussian	63.3%	95%	90.2%
13	35	Gaussian	73.3%	93.3%	90.2%
14	40	Gaussian	73.3%	95%	91.5%
15	45	Gaussian	63.3%	95%	90.2%
16	50	Gaussian	73.3%	91.7%	88.8%

Table 9. The results of the radial basis neural network method.

Table 10 shows the results of the MLPNNs method. In the construction of these networks, one or two hidden layers with two different activation functions were used. The number of neurons in each hidden layer is shown in *Table 10.* 66 different designs of the MLPNN were constructed and evaluated.

Table 10. The results of the MLPNNs method.



Design NO.	Number of Hidden Layers	Neurons in each Hidden Layer	Activation Function	Specificity	Sensitivity	Accuracy
1	1	2	Sigmoid	26.6%	76.2%	69.6%
2	1	3	Sigmoid	60%	76.7%	73.1%
3	1	4	Sigmoid	30%	78.3%	70.4%
4	1	5	Sigmoid	26.6%	85%	76.1%
5	1	6	Sigmoid	30%	80%	71.8%
5	1	7	Sigmoid	36.7%	78.3%	71.7%
7	1	8	Sigmoid	20%	81.7%	71.9%
3	1	9	Sigmoid	46.7%	83.3%	77.4%
)	1	2	Tanh	40%	63.3%	58.9%
10	1	3	Tanh	30%	61.7%	56.3%
11	1	4	Tanh	50%	59.3%	54.9%
12	1	5	Tanh	46.7%	51.7%	51%
13	1	6	Tanh	36.7%	61.7%	60%
14	1	0 7	Tanh	30%	78 3%	70.4%
15	1	8	Tanh	16.7%	75%	66.2%
16	1	0	Tanh	6 7%	73 3%	63.2%
17	2	(1.2 2.2)	Sigmoid	46 7%	75%	70.3%
1 / 1 Q	∠ 2	(1.2, 2.2)	Signoid	10. //0 2 0%	1 J /0 88 10/-	70.370
10	∠ 2	(1.2,2.3) (1.2,2.4)	Signoid	2070 26 70/-	00.470 700/-	//.070 63 10/-
19 20	∠ 2	(1:2,2:4)	Sigmoid	20./% 26.70/	7070	03.1% 60.20/
2U 21	2	(1:2,2:5)	Sigmoid	30./% 26.70/	/ 3%0	09.2% 500/
21	2	(1:2,2:6)	Sigmoid	36./%	67.2%	59%
22	2	(1:3,2:2)	Sigmoid	20%	80%	/0.5%
23	2	(1:3,2:3)	Sigmoid	20%	78.3%	69.1%
24	2	(1:3,2:4)	Sigmoid	36.7%	78.3%	71.6%
25	2	(1:3,2:5)	Sigmoid	26.7%	81.7%	73.2%
26	2	(1:3,2:6)	Sigmoid	26.7%	76.7%	68.8%
27	2	(1:4,2:2)	Sigmoid	10%	80%	68.9%
28	2	(1:4,2:3)	Sigmoid	26.7%	80%	71.8%
29	2	(1:4,2:4)	Sigmoid	36.7%	83.3%	75.9%
30	2	(1:4,2:5)	Sigmoid	30%	71.6%	64.6%
81	2	(1:4,2:6)	Sigmoid	10%	83.3%	71.8%
32	2	(1:5,2:2)	Sigmoid	30%	85%	76%
33	2	(1:5,2:3)	Sigmoid	26.7%	85%	76%
34	2	(1:5,2:4)	Sigmoid	36.7%	88.3%	80.3%
35	2	(1:5,2:5)	Sigmoid	20%	81.7%	71.7%
36	2	(1:5,2:6)	Sigmoid	26.7%	83.3%	74.5%
37	2	(1:6.2:2)	Sigmoid	40%	83.3%	76%
38	2	(1:6.2:3)	Sigmoid	30%	81.7%	73.2%
39	2	(1:6 2:4)	Sigmoid	30%	85%	76%
40	2	(1.6, 2.5)	Sigmoid	26.7%	75%	67.1%
 41	- 2	(1:6 2:6)	Sigmoid	36.7%	73.3%	67.5%
12	2	(1.2.2.0)	Tanh	43 3%	65%	62%
τ∠ 13	2	(1.2,2.2) (1.2,2.3)	Tanh	10%	73 3%	63 2%
+J 1.4	2	(1.2,2.3)	Tann	10 /0	73.370	67.80/
+++ 1 =	2	(1:2,2:4)	Tann Tan	40.770	/1./70 EQ 20/	07.070 57.00/
+D 4.C	2	(1:2,2:5)	Tann	50.7% 26.7%	38.3%	57.9%
40	2	(1:2,2:6)	Tann	26.7%	/5%	67.7%
4/	2	(1:3,2:2)	Tanh	20%	83.3%	/ 3.3%
48	2	(1:3,2:3)	lanh	50%	/1./%	6/./%
49 - 0	2	(1:3,2:4)	lanh	33.3%	/3.3%	6/./%
50	2	(1:3,2:5)	Tanh	16./%	/0%	61.9%
51	2	(1:3,2:6)	Tanh	56.7%	70%	67.8%
52	2	(1:4,2:2)	Tanh	63.3%	61.7%	62%
53	2	(1:4,2:3)	Tanh	10%	85%	73.2%
54	2	(1:4,2:4)	Tanh	36.7%	78.3%	71.6%
55	2	(1:4,2:5)	Tanh	56.7%	61.7%	60.7%
56	2	(1:4,2:6)	Tanh	36.7%	63.3%	59.1%
57	2	(1:5,2:2)	Tanh	36.7%	61.7%	57.8%
58	2	(1:5,2:3)	Tanh	30%	71.7%	64.8%
59	2	(1:5.2:4)	Tanh	10%	81 7%	70.5%

Table 10. Continued.

Design NO.	Number of Hidden Layers	Neurons in each Hidden Layer	Activation Function	Specificity	Sensitivity	Accuracy
60	2	(1:5,2:5)	Tanh	10%	75%	64.7%
61	2	(1:5,2:6)	Tanh	33.3%	71.7%	66%
62	2	(1:6,2:2)	Tanh	16.7%	88.3%	77.4%
63	2	(1:6,2:3)	Tanh	16.7%	78.3%	68.9%
64	2	(1:6,2:4)	Tanh	33.3%	73.3%	67.3%
65	2	(1:6,2:5)	Tanh	53.3%	76.6%	73.3%
66	2	(1:6,2:6)	Tanh	26.7%	86.7%	77.5%

The best results in specificity, sensitivity, and accuracy were achieved for designs No. 52, 18, and 34, respectively. In order to predict the discarding or freezing of samples, four methods of LR, C4.5 DT, RBFNNs (hybrid with the k-means method), and MLPNNs were used. The maximum value of sensitivity was achieved using the LR method, but regarding the two criteria of specificity and accuracy, RBFNN method has the highest scores.

Set No. 2: The results of LR and decision trees are shown in Table 11.

Table 11. The results of the DT and LR.

Data Set	Method	Specificity	Sensitivity	Accuracy
2	LR	35.27%	83.94%	73.62%
2	DT	36.2%	86.8%	77.6%

Also, the DT extracted for fold 2 is shown in Fig. 4.



Fig. 4. Tree schema of generated rules for fold 2 prediction, using the DT method.

Different designs were proposed to use the RBFNNs. In order to adjust the input parameters such as the center and radius, the k-means clustering method is issued. 16 different designs are considered for the RBF neural network. *Table 12* shows the results of RBFNNs. It can be seen that the highest accuracy in these methods was achieved in design 3, using 5 clusters which are about 81.6%. In terms of the sensitivity criteria, the 5th design with 7 neurons in the hidden layer has managed to reach as high as 98.8

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percent. In design no. 9, using 15 neurons in the hidden layer, the highest value of specificity was achieved compared with other designs.

Design	Number of	Activation	Specificity	Sensitivity	Accuracy
NO	Clusters	Function		·	•
1	3	Gaussian	11.7%	95.8%	80.4%
2	4	Gaussian	9.2%	95.8%	79.8%
3	5	Gaussian	10.5%	97.8%	81.6%
4	6	Gaussian	9.8%	96.9%	80.5%
5	7	Gaussian	3.7%	98.8%	81.3%
6	8	Gaussian	12.1%	93.7%	78.2%
7	9	Gaussian	9.9%	97.1%	80.7%
8	10	Gaussian	8.2%	96.6%	80.5%
9	15	Gaussian	25.1%	93.6%	81.3%
10	20	Gaussian	11%	96.5%	80.5%
11	25	Gaussian	12.2%	96.2%	80.5%
12	30	Gaussian	7.7%	97.6%	81%
13	35	Gaussian	5.9%	98%	81%
14	40	Gaussian	8.1%	95.8%	79.7%
15	45	Gaussian	11%	96.6%	80.5%
16	50	Gaussian	9.8%	96.4%	80.3%

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Table 13 shows the results of the MLPNNs method. In the construction of these networks, one or two hidden layers with two different activation functions were used. The number of neurons in each hidden layer is shown in *Table 13*. 66 different designs of MLPNN were constructed and evaluated. The best results in terms of specificity, sensitivity, and accuracy were achieved for design numbers 65 and 9, respectively.

Design	Number of	Neurons in each	Activation	Specificity	Sensitivity	Accuracy
NO.	Hidden Layers	Hidden Layer	Function			
1	1	2	Sigmoid	26.6%	76.2%	68.4%
2	1	3	Sigmoid	28.6%	85.6%	75.1%
3	1	4	Sigmoid	24.3%	80.7%	70.3%
4	1	5	Sigmoid	23.6%	84.7%	73.3%
5	1	6	Sigmoid	19.2%	79.3%	68.6%
6	1	7	Sigmoid	24.5%	79.3%	69.3%
7	1	8	Sigmoid	33.2%	77%	68.5%
8	1	9	Sigmoid	18.8%	78.7%	67.7%
9	1	2	Tanh	4.5%	99.2%	81.6%
10	1	3	Tanh	16.4%	88.9%	75.6%
11	1	4	Tanh	14.3%	81.2%	73.6%
12	1	5	Tanh	18.9%	80.4%	69.4%
13	1	6	Tanh	28.1%	71%	63.2%
14	1	7	Tanh	35.7%	79%	71%
15	1	8	Tanh	32.3%	73%	65.1%
16	1	9	Tanh	28.1%	78.1%	68.6%
17	2	(1:2,2:2)	Sigmoid	14.9%	89.2%	75.4%
18	2	(1:2,2:3)	Sigmoid	15.7%	87.2%	73.8%
19	2	(1:2,2:4)	Sigmoid	10.8%	89.7%	75.4%
20	2	(1:2,2:5)	Sigmoid	6.1%	92.8%	77%
21	2	(1:2,2:6)	Sigmoid	22.5%	86.4%	74.6%
22	2	(1:3,2:2)	Sigmoid	13.5%	91.7%	76.9%
23	2	(1:3,2:3)	Sigmoid	23.1%	84.4%	73%
24	2	(1:3,2:4)	Sigmoid	15.5%	86.7%	73.6%
25	2	(1:3,2:5)	Sigmoid	21.5%	85.4%	73.6%
26	2	(1:3,2:6)	Sigmoid	27.5%	79.9%	70.7%
27	2	(1:4,2:2)	Sigmoid	25.5%	79.5%	69.7%
28	2	(1:4,2:3)	Sigmoid	27.9%	81.7%	71.6%
29	2	(1:4,2:4)	Sigmoid	19.6%	78.4%	67.9%
30	2	(1:4,2:5)	Sigmoid	20.3%	81.2%	69.9%
31	2	(1:4,2:6)	Sigmoid	25.4%	82.9%	72.3%

Table 13. The results of the MLPNNs method.

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Table 13. Continued.

Design	Number of	Neurons in each	Activation	Specificity	Sensitivity	Accuracy	
<u>NU.</u>	Aldden Layers	(1.5.2.2)	Function	20.20/	80.00/	71.20/	
32	2	(1:5,2:2)	Signoid	30.270 20.59/	80.9% 79.20/	/1.370	
33 24	2	(1:5,2:5)	Sigmoid	29.5%	/8.3%	68./%	
34 25	2	(1:5,2:4)	Sigmoid	30.7%	//.4%0	68./%	024
35	2	(1:5,2:5)	Sigmoid	33%	/5./%	6/.8%	234
36	2	(1:5,2:6)	Sigmoid	21.6%	81%	69.7%	
37	2	(1:6,2:2)	Sigmoid	25.7%	81.3%	/1.8%	
38	2	(1:6,2:3)	Sigmoid	30.9%	/5.6%	67.2%	
39	2	(1:6,2:4)	Sigmoid	34.8%	81%	/2.5%	
40	2	(1:6,2:5)	Sigmoid	30.5%	76.2%	67.6%	
41	2	(1:6,2:6)	Sigmoid	27%	76.3%	67.2%	
42	2	(1:2,2:2)	Tanh	9.7%	93.5%	79%	
43	2	(1:2,2:3)	Tanh	8.9%	90%	75%	
44	2	(1:2,2:4)	Tanh	16%	91.5%	77.4%	
45	2	(1:2,2:5)	Tanh	14.5%	88.8%	75.1%	
46	2	(1:2,2:6)	Tanh	16.2%	81.5%	69.7%	
47	2	(1:3,2:2)	Tanh	3.2%	94.9%	77.8%	
48	2	(1:3,2:3)	Tanh	21%	78.2%	67.6%	
49	2	(1:3,2:4)	Tanh	12.5%	89%	75%	
50	2	(1:3,2:5)	Tanh	25.5%	83.1%	72.5%	22
51	2	(1:3,2:6)	Tanh	19.6%	81.4%	70%	6
52	2	(1:4,2:2)	Tanh	26.5%	79.4%	69.7%	218
53	2	(1:4,2:3)	Tanh	22%	81.3%	70.3%	5
54	2	(1:4,2:4)	Tanh	20.9%	80.3%	69.3%	033
55	2	(1:4,2:5)	Tanh	30.9%	76.7%	68.4%	5
56	2	(1:4,2:6)	Tanh	27%	79.8%	70%	x
57	2	(1:5,2:2)	Tanh	30.5%	74.5%	66.3%	X(
58	2	(1:5,2:3)	Tanh	27.4%	76%	66.9%	aic
59	2	(1:5.2:4)	Tanh	25.5%	79.2%	69%	En
60	2	(1:5.2:5)	Tanh	21.1%	78.4%	67.7%	
61	2	(1:5.2:6)	Tanh	17.9%	77.7%	66.9%	Inc
62	2	(1:6.2:2)	Tanh	30.4%	72.8%	64.2%	Ś
63	2	(1:6,2:3)	Tanh	34.9%	77.2%	69.2%	Re
64	2	(1:6 2:4)	Tanh	28%	77.9%	69%	H.
65	2	(1.6.2.5)	Tanh	36.4%	74 5%	67.5%	dd
66	2	(1.6.2.6)	Tanh	33%	75.3%	67%	Υ.

In order to predict the discarding or freezing of samples, four methods of LR, C4.5 DT, RBFNNs (hybrid with the k-means method), and MLPNNs were used. The highest values for the sensitivity and specificity criteria were obtained using the MLPNN method. But regarding the accuracy criterion, both methods of MLPNN and RBFNN (hybrid with the k-means method) earned the highest scores.

According to the presented results in the previous section, based on the prediction model of the RBFNN method, the reduced costs of discard and lost opportunity of the collected samples in Royan's umbilical cord blood bank during the past year can be calculated by the following procedure.

The cost of each contract is 22,000,000 Rials, in case of cancellation, 80% of the contract cost will be returned to the referring person. The cost imposed on the company will be different based on the fact that at what stage of the tests, the discard is determined. Hence the average cost of collecting the samples and related tests is estimated to be about 6,000,000 Rials.

The total number of contracts in the umbilical cord blood bank was 11,750 in 2015.

The percentage of contract discard was about 14% in 2015.

Considering the above data, the total reduction cost for sets 1 and 2 are shown in Table 14.

Table 14. The total reduction in the contract discard costs (Rials).				
Set 1	Set 2			
11750 * 0.14 * 0.915 * (0.8 * 22000000 + 6000000)	11750*0.14*0.816*(0.8*22000000+6000000)			
=35522130000	= 31678752000			





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This cost reduction for the company is estimated without considering the lost opportunity costs for discarded contracts, if it's taken into account, the amount of total cost reduction will be more than the above value.

6 | Conclusion

Choosing the best umbilical cord blood stem cells for storage, is one of the important issues that needs a proper pre-determined method. In the absence of this method, high costs are imposed on companies, and the optimal use possibility of the stored cells is reduced. In this paper, we proposed a proper classification prediction method (hybrid of radial basis neural network with k-means clustering) for freezing or discarding of umbilical cord blood stem cells, since some frozen records are useless when we need to use them for transplantation. Using the proposed method reduced storage costs and increased the likelihood of cells effectiveness. We implemented the proposed model in Royan institute and saved 1 million dollars for the first year and the provided result showed the method's effectiveness.

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